

32692

Customer Number

Patent  
Case No.: 59418US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 10/726968 Confirmation No.: 4393  
Filed: December 2, 2003  
Title: PHOSPHOR BASED LIGHT SOURCES HAVING A NON-PLANAR SHORT  
PASS REFLECTOR AND METHOD OF MAKING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Date

Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited U.S. Patent is not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

**Fees:**

☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.  
One copy of this sheet marked duplicate is also enclosed.

☒ Any required fee will be paid at the time of EFS-Web submission.

☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

5 Oct. 2006  
Date

By: Stephen C. Jensen  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

**Application Number**

10/726968

**Filing Date**

December 2, 2003

**First Named Inventor**

Ouderkirk, Andrew J.

**Confirmation Number**

4393

**Attorney Case Number**

59418US002

**U.S. Patent Documents**

Exam. Init *	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,833,565	12-21-2004	Su et al.	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

**Foreign Patent Documents**

Exam. Init *	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

**OTHER DOCUMENTS**

Exam. Init *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

**\*Examiner:****Date Considered:**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.